Change of Investment Option Form

CollegeCounts Alabama's 529 Fund
ADVISOR PLAN

PO Box 85290
Lincoln, NE 68501-5290

CollegeCounts529advisor.com

- Complete this form to change your investments. Or log into your Account at CollegeCounts529advisor.com and change your investments online.
- The Account Owner is permitted to change the selected Portfolio(s) once per calendar year or upon a change of Beneficiary.
- **Please read the Program Disclosure Statement for more complete information regarding the investment objectives, risks, and charges and expenses associated with the Program and each Portfolio.**
- Do not use this form to change the Beneficiary. Complete a Change of Beneficiary Form to change the Beneficiary of your Account.
- Return this completed form to:

Regular Mail:
CollegeCounts 529 Fund
PO Box 85290
Lincoln, NE 68501-5290

Overnight Mail:
CollegeCounts 529 Fund
6811 S. 27th Street
Lincoln, NE 68512

- **For assistance, call toll-free:** 866.529.2228 or contact your financial advisor.

1 Account Information

Account Number ☐☐☐☐☐☐☐☐☐☐

Account Owner

Account Owner's First Name | M.I. | Last Name

Address | City, State, ZIP

E-Mail Address | Daytime Phone

Beneficiary

Beneficiary's First Name | M.I. | Last Name

☐☐–☐☐–☐☐☐☐
Date of Birth

2 New Investment Option

Your total Account balance will be transferred to, and all future contributions will be invested in, the option(s) that you select below. If you have any questions about the Portfolios, please consult your Financial Advisor, the Program Disclosure Statement, and the Program Website at www.CollegeCounts529advisor.com.

CHECK ONLY ONE BOX (A), (B), OR (C).

☐ **A. Age-Based Portfolios –** If you have checked box (A), select one of the following:

☐ **Aggressive Portfolio** ☐ **Moderate Portfolio** ☐ **Conservative Portfolio**

☐ **B. Target Portfolios –** If you have checked box (B), select one of the following:

☐ **Fund 100** ☐ **Fund 80** ☐ **Fund 60** ☐ **Fund 40** ☐ **Fund 20** ☐ **Fixed Income Fund**

2 New Investment Option (Continued)

☐ **C. Individual Fund Portfolios** – Create your own investment mix from one or more of the 529 Portfolios below. If you've checked box (C), select one or more of the following *(must total 100%)*.

Money Market

_____% BlackRock Cash Funds 529 Portfolio

Fixed Income

_____% PIMCO Short-Term 529 Portfolio

_____% Northern Bond Index 529 Portfolio

_____% PIMCO Total Return 529 Portfolio

_____% BlackRock Inflation Protected Bond 529 Portfolio

Balanced

_____% T. Rowe Price Balanced 529 Portfolio

Real Estate

_____% T. Rowe Price Real Estate 529 Portfolio

Domestic (U.S.) Equity

Large-Cap

_____% Harbor Large Cap Value 529 Portfolio

_____% Northern Equity Index 529 Portfolio

_____% American Century Equity Growth 529 Portfolio

_____% T. Rowe Price Large-Cap Growth 529 Portfolio

Mid-Cap

_____% Northern Mid Cap Index 529 Portfolio

_____% Fidelity Advisor Mid Cap II 529 Portfolio

Small-Cap

_____% William Blair Small Cap Value 529 Portfolio (formerly known as William Blair Value Discovery 529 Portfolio)

_____% Northern Small Company Index 529 Portfolio

_____% Lord Abbett Developing Growth 529 Portfolio

International Equity

_____% Northern International Equity Index 529 Portfolio

_____% Neuberger Berman International Large Cap 529 Portfolio

_____% Lazard Emerging Markets Equity 529 Portfolio

Investment Products: Not FDIC Insured, No Bank Guarantee, May Lose Value.

3 Authorization

I hereby request the change of investment option(s) as indicated. I understand that my total Account balance will be transferred to, and all future contributions will be invested in, the option(s) selected above. The CollegeCounts 529 Fund is entitled to rely on this request and is released from any and all claims I may have or hereafter assert with respect to the requested Portfolio change. By signing below, I certify that all information contained herein is true and correct.

Signature and Date Required

X

Signature of Account Owner   Date

TREASURY DEPARTMENT ALABAMA

Offered by the State of Alabama

UBT 529 Services a Division of

UNION BANK & TRUST COMPANY®

Program Manager