

# Automatic Investment Plan/Electronic Bank Transfer Form

**Return This Form to:**

CollegeCounts  
529 Fund  
P.O. Box 85290  
Lincoln, NE 68501

**Overnight Mail:**

CollegeCounts 529 Fund  
1248 O Street,  
Suite 200  
Lincoln, NE 68508

If you have questions, please call us at 866.529.2228, Monday–Friday, 7 a.m. to 6 p.m. (Central).

## 1. Current Account Information

Account Number: \_\_\_\_\_

Account Owner Legal Name (First, M.I., Last): \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

Name of Beneficiary: \_\_\_\_\_

## 2. Action to Be Taken (Check one)

- Start a New** Automatic Investment Plan (Complete Sections 3, 5, and 6)
- Modify an Existing** Automatic Investment Plan (Complete Sections 3 and 6)
- Add or Change Banking Information** on File for Contributions and Redemptions (Complete Sections 5 and 6)
- One-Time Electronic Bank Transfer** (Complete Sections 4, 5, and 6)
- Discontinue** My Automatic Investment Plan (Complete Section 6)

## 3. Amount and Frequency of Transactions

Complete this Section if you checked Start a New Automatic Investment Plan or Modify an Existing Automatic Investment Plan in Section 2 above.

**Automatic Investment Plan Amount: \$** \_\_\_\_\_

**Frequency of Transactions (Check one):**

- Monthly (Date)** \_\_\_\_\_  
(If you do not provide a date, the transfer will occur on the 17th of each month.)
- Twice a Month (Dates)** \_\_\_\_\_ & \_\_\_\_\_  
(If you do not provide dates, the transfers will occur on the 11th and the 25th of each month.)
- Quarterly (Date Each Month)** \_\_\_\_\_
  - January, April, July, October
  - February, May, August, November
  - March, June, September, December
- Annually (MM/DD)** \_\_\_\_\_

## 4. One-Time Electronic Bank Transfer

Amount to be Transferred from Your Bank Account: \$ \_\_\_\_\_

- Invest pursuant to my current investment allocation on file
- Invest this one-time amount per the following direction (for this contribution only):

529 Portfolio	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____

## 5. Banking Information

Fill out the following to add or update bank instructions to your CollegeCounts 529 Fund Advisor Plan Account for an Automatic Investment Plan, Electronic Bank Transfer, subsequent contributions, or redemptions.

- Add bank information
- Add bank information bank account owner is not the same as Account Owner (Medallion Signature REQUIRED in section 6).
- Replace current bank account ending \_\_\_\_\_ (provide last 4 digits of bank account number)

### 1. Account Type

- Checking
- Savings

- **Tape voided check here. Do not staple.**  
This bank account will automatically be linked to your CollegeCounts 529 Fund Advisor Plan Account for telephone and website purchases and redemption/ withdrawal transactions.

Your Name	1234
Pay to the order of	Date _____
Sample	\$ _____ Dollars
Bank Name and Address	
Memo	
:123456789:	34568:

**TAPE YOUR PREPRINTED VOIDED CHECK OR SAVINGS ACCOUNT DEPOSIT SLIP HERE.**

## 6. Authorization

By signing below, I certify that the information contained herein is true, complete, and correct.

By selecting the electronic transfer service in Sections 2, 3, 4, and/or 5, I (the contributor) hereby authorize Union Bank and Trust Company to initiate debit and/ or credit entries to the bank account indicated above, and the bank indicated above to debit the same amount. As the CollegeCounts 529 Fund Advisor Plan Account Owner I acknowledge that the referenced bank account will be linked to my CollegeCounts 529 Fund Advisor Plan Account so that I may purchase or sell shares by telephone or online at CollegeCounts529advisor.com. This authority is to remain in full force and effect until Union Bank and Trust Company has received notification from me of its modification or termination in such time as to afford Union Bank and Trust Company reasonable time to act on it. I understand that if a transaction cannot be made because of insufficient funds or because either account has been closed, this service will be cancelled by Union Bank and Trust Company. I acknowledge that the origination of Automated Clearing House ("ACH") transactions to my account must comply with the provisions of applicable law. I further agree that if my draft is dishonored for any reason, with or without cause, Union Bank and Trust Company will not bear any liability. Union Bank and Trust Company may correct any transaction errors with a debit or credit to my financial institution account and/or the CollegeCounts 529 Fund Advisor Plan Account. Please retain a copy of this authorization for your records.

I authorize Union Bank and Trust Company, its agents and affiliates, and the Trust to act on any instructions believed to be genuine and from me for any telephone, electronic and website services. Union Bank and Trust Company and the Trust use procedures designed to verify the authenticity of the Account Owner or Custodian. If these procedures are followed, Union

## Authorization (continued from previous page)

Bank and Trust Company and the Trust will not be liable for any loss that may result from acting on unauthorized instructions. I understand that anyone who can properly identify my Account(s) can obtain information about my Account and can make telephone, electronic, or computer exchange and/or redemption, contribution or withdrawal transactions on my behalf.

I acknowledge that, if this form is electronically signed, my electronic signature will have the same legal validity and enforceability as a manually executed signature or handwritten signature to the fullest extent permitted by applicable law, including the Federal Electronic Signatures in Global and National Commerce Act, the Uniform Electronic Transactions Act or any similar state law based on the Uniform Electronic Transactions Act. I acknowledge that any electronic signature must be a certificate-based digital signature, and that any electronically signed form must be accompanied by a digital signature certificate or a digital signature audit trail containing, at a minimum, information regarding the date and time the electronic signature was executed, as well as the following personally identifying information of each signer: the signer's legal name, email address, and internet protocol address. I understand that this form may not be signed using Adobe Acrobat Reader's "Fill & Sign" and "Adobe Sign" features or any other electronic signature method that does not produce an acceptable certificate-based digital signature.

### Signature of CollegeCounts 529 Account Owner and Date Required

\_\_\_\_\_  
 Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee Date

\_\_\_\_\_  
 Print Name Here

\_\_\_\_\_  
 Title (if other than an individual)

### Bank Account Owner's Signature (if the contributor is different than the CollegeCounts 529 Fund Advisor Plan Account Owner). MEDALLION SIGNATURE GUARANTEE REQUIRED

I acknowledge that my above-referenced bank account will be linked to the CollegeCounts 529 Fund Advisor Plan Account referenced in Section 1.

\_\_\_\_\_  
 Bank Account Owner's Signature (if the contributor is different than the CollegeCounts 529 Fund Account Owner). Date

\_\_\_\_\_  
 Print Name Here

## Medallion Signature Guarantee

A Medallion Signature Guarantee is required if the name on the bank account is not the same as the CollegeCounts 529 Fund Advisor Plan Account Owner.

MEDALLION SIGNATURE GUARANTEE

Note to Guarantor:  
 Medallion imprints must be fully legible and must not be dated or annotated.

Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee program.

(A NOTARY PUBLIC CANNOT PROVIDE A SIGNATURE GUARANTEE)