

# UGMA/UTMA Account Update Form

**Return This Form to:**

CollegeCounts  
529 Fund  
P.O. Box 85290  
Lincoln, NE 68501

**Overnight Mail:**

CollegeCounts 529 Fund  
1248 O Street,  
Suite 200  
Lincoln, NE 68508

If you have questions, please call us at 866.529.2228, Monday–Friday, 7 a.m. to 6 p.m. (Central).

## 1. Current Account Information

Account Number: \_\_\_\_\_

Current UGMA/UTMA Custodian Name (First, M.I., Last): \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

Name of Beneficiary: \_\_\_\_\_

## 2. Change Current Custodian

(Medallion Signature Guarantee REQUIRED in Section 5. The new Custodian must complete Section 6)

**New UGMA/UTMA Custodian Information:**

Name (First, M.I., Last): \_\_\_\_\_

Social Security Number or Taxpayer Identification Number: \_\_\_\_\_

Date of Birth (MM/DD/YYYY): \_\_\_\_\_

Street Address (no PO Boxes): \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

## 3. Add a Successor Custodian

(A successor custodian becomes the custodian if the current custodian dies, resigns, or is declared legally incompetent.)

UGMA/UTMA Successor Custodian Name (First, M.I., Last): \_\_\_\_\_

UGMA/UTMA Successor Custodian Date of Birth (MM/DD/YYYY): \_\_\_\_\_

## 4. Current Custodian Authorization and Signature

By signing below, I hereby certify that I am the current Custodian of the UGMA/UTMA Account indicated on this form and that the information contained herein is true, complete, and correct.

**If I have completed Section 2**, hereby resign as Custodian. **THIS FORM MUST BE MEDALLION SIGNATURE GUARANTEED IN SECTION 5.**

**If I have completed Section 3**, this successor Custodian designation will replace the successor custodian currently named on the Account and is effective only upon my death, my resignation as custodian, or my legal incapacitation.

### Signature and Date Required

**X** \_\_\_\_\_  
Signature of Custodian Date

\_\_\_\_\_  
Print Name Here

## 5. Medallion Signature Guarantee (REQUIRED When Changing Custodian's in Section 2.)

A Medallion Signature Guarantee of the signature in Section 4 is required when Changing Custodian's in Section 2.

MEDALLION SIGNATURE GUARANTEE

Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee program.

(A NOTARY PUBLIC CANNOT PROVIDE A SIGNATURE GUARANTEE)

Note to Guarantor:  
Medallion imprints must be fully legible and must not be dated or annotated.

## 6. New Custodian Authorization and Signature

**Important Information About Procedures for Opening a New Account:** To help the government fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, you must provide your name, address, date of birth, Social Security number or Taxpayer Identification Number, and other information that will allow us to identify you.

**By signing below, I understand and hereby certify that:**

I have received and consent and agree to all the terms and conditions of the Account Agreement and Enrollment Form; and, this UGMA/UTMA Account Update Form and agree to be bound by their terms and all amendments.

I understand each Account established herein is governed by an arbitration clause, which is set forth in Section 12 of the Account Agreement. I acknowledge receiving a copy of the arbitration clause.

I am at least 19 years of age and of full legal age in the state in which I reside. I am a U.S. citizen or a U.S. resident alien.

I acknowledge that Accounts established under the CollegeCounts 529 Fund Advisor Plan and their earnings are not guaranteed or insured by the Federal Deposit Insurance Corporation (except for the Bank Savings 529 Portfolio underlying investment) or any other governmental agency; are not guaranteed by the State of Alabama, the State Treasurer of Alabama, the Board, Union Bank and Trust Company or Northern Lights Distributors, LLC; and are subject to investment risk, including loss of principal.

I understand that it is the Program's policy to send one copy of the Program Disclosure Statement for all Accounts for which I am Account Owner or Custodian. I understand this applies to all existing Accounts and any Accounts that I may open in the future. I consent to this policy.

I authorize Union Bank and Trust Company, its agents and affiliates, and the Trust to act on any instructions believed to be genuine and from me for any telephone, electronic and website services. Union Bank and Trust Company and the Trust use procedures designed to verify the authenticity of the Account Owner or Custodian. If these procedures are followed, Union Bank and Trust Company and the Trust will not be liable for any loss that may result from acting on unauthorized instructions. I understand that anyone who can properly identify my Account(s) can obtain information about my Account and can make telephone, electronic, or computer exchange and/or redemption, contribution or withdrawal transactions on my behalf.

I authorize the Investment Professional assigned to my Account to have access to my Account and to act on my behalf with respect to this Account. I acknowledge that the named Investment Professional has authority to act on my behalf with respect to this CollegeCounts 529 Fund Advisor Plan Account. The Investment Professional is authorized to: direct withdrawals, change address of record, change bank account information, change investment portfolio selection, and request statements and account information.

### Signature and Date Required

X \_\_\_\_\_  
Signature of Custodian Date

\_\_\_\_\_  
Print Name Here



Offered by the  
State of Alabama

Northern Lights Distributors, LLC  
An Affiliate of  
**ULTIMUS**  
FUND SOLUTIONS  
Distributor

UBT 529 Services a Division of

**UBT**  
Union Bank & Trust  
Program Manager